

# **T.C. ÇANAKKALE ONSEKİZ MART UNIVERSITY SCHOOL OF GRADUATE STUDIES**

# **DEPARTMENT OF MOLECULAR BIOLOGY AND GENETICS**

# **ELUCIDATION OF THE EFFECTS OF FERMENTED** *SPIRULINA* **PROTEIN HYDROLYSATE AND BIOACTIVE PEPTIDE FRACTIONS ON ANTI-INFLAMMATORY, ANTICANCER, AND INDIRECT ANTIOXIDANT MECHANISMS FOR THERAPEUTIC AND NUTRACEUTICAL APPLICATIONS**

## **MASTER OF SCIENCE THESIS**

# **ZEYNEP ÖZLEM CİNAR**

**Thesis Supervisor PROF. DR. TUĞBA TÜMER**

**Second Thesis Supervisor PROF. DR. MEHMET AY**

**ÇANAKKALE – 2023**





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# **T.C. ÇANAKKALE ONSEKİZ MART ÜNİVERSİTESİ LİSANSÜSTÜ EĞİTİM ENSTİTÜSÜ**



The study entitled **"Elucidation of The Effects of Fermented** *Spirulina* **Protein Hydrolysate and Bioactive Peptide Fractions on Anti-Inflammatory, Anticancer, and Indirect Antioxidant Mechanisms for Therapeutic and Nutraceutical Applications"** submitted by **Zeynep Özlem CİNAR** under supervision of Prof. Dr. Tuğba TÜMER was defended on **07/03/2023**. This thesis has been approved as **Master of Science** in **Molecular Biology and Genetics** of Çanakkale Onsekiz Mart University School of Graduate Studies by the Examining Committee Members.

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#### **PLAGIARISM DECLARATION PAGE**



I declare that all the information and results offered in visual, and written form are obtained by myself observing the academic and ethical rules. Moreover, all other results and information referred to in the thesis but not specific to this study are cited.

> Zeynep Özlem CİNAR 07/03/2023

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#### **ÖZET**

# **FERMENTE** *SPİRULİNA* **PROTEİN HİDROLİZATI VE BİYOAKTİF PEPTİT FRAKSİYONLARININ ANTİİNFLAMATUAR, ANTİKANSER VE İNDİREKT ANTİOKSİDAN MEKANİZMALAR ÜZERİNE ETKİLERİNİN TERAPÖTİK VE NUTRASÖTİK UYGULAMALAR İÇİN AYDINLATILMASI**

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Artan metabolik sendrom oranı, inflamatuvar ve enfeksiyon hastalıkları nedeniyle takviye edici ürünlere ve sağlıklı beslenmeye olan ilgi giderek artmaktadır. Bu bağlamda, *Spirulina platensis*, sağlığa yararlı özellikleri nedeniyle yenilikçi nutrasötiklerin üretimi için en çekici kaynaklardan biri haline gelmiştir. Bu çalışmada, üç farklı mikrobiyal fermentasyon ile (*Lactobacillus helveticus, Kluyveromyces marxianus* ve *Lactobacillus helveticus* ve *Kluyveromyces marxianus*'un birlikte fermentasyonu) erlen düzeyinde gerçekleştirilen fermente *Spirulina* (FS) (FS by KM, FS by LH ve FS by mix) ürünlerinin, *Lactobacillus helveticus ve Kluyveromyces marxianus*'un birlikte fermentasyonu ile farklı koşullarda gerçekleştirilen (havalandırma 0.5 vvm (M2); havalandırma 1 vvm (M4); Cascade üretim (M6) ve sabit pH'da gerçekleştirilen üretim (M8)) biyoreaktör ürünlerinin, M6'nın ultrafiltrasyon (UF) işlemlerinden geçirilmiş fraksiyonlarının (>2 kDa, 2-5 kDa, 5- 10 kDa), seçilen fraksiyonun (5-10 kDa) peptit fraksiyonlarının (FR1, FR2, FR3 ve FR4) ve fermente edilmemiş (kontrol) *Spirulina* ürünlerinin potansiyel antikanser ve NO baskılayıcı etkileri araştırılmıştır.

M6 ve FS by mix ürünlerinin, sitotoksik etki göstermeksizin, makrofajların, LPS ile indüklenen NO salınımını etkili bir şekilde azalttığı görülmüştür. Antikanser aktiviteler ele alındığında ise, M6, M6 5-10 kDa fraksiyonu, M6 (5-10 kDa) fraksiyonuna ait FR1 ve FR2 fraksiyonları, HT-29 hücrelerinin canlılığını seçici olarak inhibe ederek umut vadeden etkiler göstermiştir. Ayrıca, M6 ve M6 (5-10 kDa) fraksiyonu, antioksidan genlerin (Nrf-2, HO-1, NQO1, Gclc ve Srnx1) ifadelerini mRNA seviyesinde arttırmıştır ve ürünlerin Nrf-2'nin nükleer akümülasyonunu stimüle ettiği saptanmıştır. Bu çalışmanın bulguları değerlendirildiğinde, FS by mix, M6, M6 5-10 kDa fraksiyonu, FR1 ve FR2'nin potansiyel antikanser, antioksidan ve NO baskılayıcı etkilerinin umut vadettiği çıkarımlanabilir. Fermente *Spirulina* hidrolizatları, daha kapsamlı analizler ile değerlendirilerek nutrasötikler için yeni bir içerik maddesi olarak geliştirilebilir.

**Anahtar Kelimeler:** *Spirulina platensis*, biyoaktif peptit fraksiyonları, antikanser, antioksidan, Nrf-2, fermentasyon

#### **ABSTRACT**

# **ELUCIDATION OF THE EFFECTS OF FERMENTED** *SPIRULINA* **PROTEIN HYDROLYSATE AND BIOACTIVE PEPTIDE FRACTIONS ON ANTI-INFLAMMATORY, ANTICANCER, AND INDIRECT ANTIOXIDANT MECHANISMS FOR THERAPEUTIC AND NUTRACEUTICAL APPLICATIONS**

Zeynep Özlem CİNAR Çanakkale Onsekiz Mart University School of Graduate Studies Master of Science Thesis in Molecular Biology and Genetics Supervisor: Prof. Dr. Tuğba TÜMER Co-supervisor: Prof. Dr. Mehmet AY 07/03/2023, 51

Supplementary products and healthy diets are arousing interest with the increasing metabolic syndrome rate, and inflammatory and infectious diseases. In this manner, *Spirulina platensis* is one of the most attractive sources for the production of innovative nutraceuticals due to its health-beneficial properties. Enhancing the impact of fermentation on *Spirulina platensis'* health-beneficial effects was demonstrated via *in vitro* studies in the scope of this thesis. Fermented *Spirulina* (FS) products, FS by Lactobacillus helveticus (FS by LH), FS by Kluyveromyces marxianus (FS by KM), and FS by the combined culture of both microorganisms (FS by mix); FS by LH and KM under different conditions (aeration 0.5 vvm (M2); aeration 1 vvm (M4); Cascade production (M6) and constant pH production (M8)) bioreactor products, ultrafiltration fractions of M6 (>2 kDa, 2-5 kDa, 5-10 kDa), peptide fractions (FR1, FR2, FR3, FR4) of selected UF product (5-10 kDa) and unfermented *Spirulina* (control) products were investigated in terms of their potential anticancer, and NO suppressive effects.

M6 and mix-fermented products efficiently decreased the LPS-induced NO secretion of macrophages without showing cytotoxic effects. In terms of anticancer activity, M6, M6 5-10 kDa, FR1, and FR2 showed promising effects by selectively inhibiting the viability of HT-29 cells. Moreover, M6 and M6 5-10 kDa upregulated the expressions of antioxidant genes (Nrf-2, HO-1, NQO1, Gclc, and Srnx1) on mRNA level and promoted the nuclear accumulation of Nrf-2. On the whole, the findings of this study present a positive aspect on potential anticancer, antioxidant, and NO suppressive effects of the mix, M6, M6 5-10 kDa, FR1, and FR2. Hence, fermented *Spirulina* hydrolysates could be developed as a novel ingredient for nutraceuticals by further more comprehensive analysis.

**Keywords:** *Spirulina platensis*, bioactive peptide fractions, anticancer, antioxidant, Nrf-2, fermentation

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# **CHAPTER 1 INTRODUCTION**

The recognition of supplementary products and healthy diets is arousing with the increasing metabolic syndrome rate, and inflammatory and infectious diseases, especially after the pandemic. Proteins are one of the most important components in human and animal nutrition and have proven to have biologically active constituents that hold health-promoting effects beyond their properties as rich nutrients. Bioactive peptides (BAPs) are specific sequences of amino acids with several nutraceutical/therapeutic properties and potential applications that can exert their action via *in vitro* or *in vivo* hydrolysis (Korhonen & Pihlanto, 2006). Although the bioactive peptides may derive from a variety of protein sources, microalgae-derived proteins have been recognized due to their plenitude and amino acid composition (Z. Wang & Zhang, 2016b). As a protein source, microalgae species contain a similar amount of protein along with animal-derived sources. Yet, microalgae extractions are more advantageous in terms of nutritional content including vitamins, minerals, and carotenoids within the proteins (Koyande et al., 2019). *Spirulina platensis* is blue-green algae (cyanobacterium) living in water and it is known that *Spirulina* has been a popular ingredient for nutritional supplements due to its content of abundant proteins, carotenoids, essential fatty acids, vitamin B, vitamin E, and minerals. In addition, they have been identified for their significant health benefits such as being antimicrobial and antioxidant. Most of these beneficial activities have been attributed to the bioactive peptide content of *Spirulina* (Czerwonka et al., 2018; Dartsch, 2008; El-Baz et al., 2013; Koníčková et al., 2014). Since *Spirulina* is rich in bioactive peptides; its potential use in functional foods, cosmetics, and pharmaceuticals was investigated. Nonetheless, because of high manufacturing costs and a lack of bioactivity research, the manufacturing of bioactive peptides produced from *Spirulina* has not yet been accomplished (Lafarga et al., 2021a).

#### **1.1. Inflammation, Inflammatory Diseases, and Cancer**

Inflammation is a complicated protective response against toxins, infections, or injuries that leads to healing by starting a cascade of events in the tissue and is divided into two types according to its duration. While inflammation that lasts less than 48 h is considered acute inflammation, the other type lasting more than 48 h is accepted as chronic inflammation. (Ansar & Ghosh, 2016). In the acute stage, inflammatory cells are recruited to the site of a wound, where they release pro-inflammatory mediators such as cytokines and chemokines. In this period, anti-inflammatory agents are also secreted by the immune system to prevent excessive inflammation that can lead to tissue damage and delayed healing. In the most severe cases of inflammation, certain immune cells are released, such as neutrophils, macrophages, and lymphocytes. These cells play key roles in the destruction of pathogens and the induction of an immune response. However, these highly reactive cells also cause significant damage to the surrounding tissue and can worsen the damage resulting from the initial injury. Therefore, it is important to regulate the activity of these cells to ensure their proper function and minimize undesirable side effects (Ansar & Ghosh, 2016).

Earlier studies provide shreds of evidence for the role of chronic inflammation in several human diseases such as cardiovascular diseases, metabolic syndrome, inflammatory bowel disease, central nervous system (CNS) diseases, and different types of cancer since prolonged inflammation can become pathologic (Panigrahy et al., 2021). In inflammation interleukin-1s (IL-1) are known as the main downstream mediator and there are two IL-1s; IL-1α, and IL-1β. In the synthesis of IL-1β, TNF-α, IL-18, IL-1α or IL-1β itself can play the role of stimuli (Dinarello & van der Meer, 2013). Unregulated production of IL-1β observed in inflammatory diseases and also proved to be effective in the progression of the disease. Besides inflammatory diseases, the overproduction of IL-1β is also involved in invasive cancer types and it is already known that chronic inflammation is recognized as one of the major causes of cancer, and tumorigenesis (Bent et al., 2018). Also, cytokines other than IL-1β; such as TNF-α, and IL-6 have a key effect on the progression of cancer by enhancing the invasiveness of malignant cells (Balkwill et al., 2005). In a clinical study conducted with 30 ovarian cancer patients to show the linkage between inflammation and ovarian cancer, the effects of TNF-α on tumor growth and invasion were investigated. In blood samples of patients after treatment with a TNF- $\alpha$  inhibitor, etanercept, it was reported that immunoreactive TNF- $\alpha$  levels were increased while the IL-6 levels were significantly

decreased. Allied with provided data, targeting inflammatory cytokine TNF-α in the treatment of ovarian cancer is pointed out as a possible strategy that needs to be confirmed with further studies (Madhusudan et al., 2005). In a recent clinical study, similar shreds of evidence were demonstrated for the role of the IL-1β pathway in lung cancer. This study indicated that targeting the IL-1β pathway may have importance in delaying disease progression (Wong et al., 2020). In the view of earlier studies, targeting inflammatory pathways is a conventional treatment strategy in the improvement of de novo therapeutics for the treatment and prevention of inflammatory diseases and cancer types. Several cytokines and molecules have key importance in quantifying inflammatory responses or determining the anticancer properties of test samples in ongoing studies. Nitric oxide (NO) is involved in several physiological and pathophysiological pathways by using multiple classes of nitric oxide synthase (NOS) enzymes, therefore, it carries out a substantial role in the life of many organisms (Sun et al., 2003). NO is one of the main regulator molecules in inflammation that can be generated by mammalian cells. Excessive production of NO may also cause toxicity in the cell instead of regulating the host defense mechanisms (Tripathi et al., 2007). Hereupon, quantification of NO released from an LPS-induced macrophage model to evaluate the inflammatory response is one of the commonly used approaches and Griess assay is a well-established method for this purpose (Dirsch et al., 1998).

#### **1.2. Antioxidant and Detoxification Genes**

Reactive oxygen species (ROS), which are formed by cells as byproducts of their metabolic activities during the oxidative reaction process of the mitochondrial respiratory chain, have a significant influence on the physiology of cells. Regulated levels of ROS, which are primarily produced by mitochondria and the cytochrome P450 (CYPs) enzyme system, have advantageous effects like the destruction of pathogens and the healing of wounds. As the oxygen and metabolic levels rise, more ROS are produced, and as the permeability of the mitochondrial membrane increases, more ROS are released into the cytosol. The metabolic process of xenobiotics catalyzed by CYPs can be another significant source of ROS. A regulated ROS level is essential for maintaining the body's homeostasis since ROS are significant signaling molecules engaged in a number of processes. Overexposure to ROS disrupts redox equilibrium, leading to oxidative stress and ROS-

mediated harm to vital organelles and macromolecules including DNA and proteins, as well as harm associated with aging, cancer, diabetes, and neurodegeneration (He et al., 2017; Yang & Lian, 2020). In this case, the antioxidant defense system becomes involved in balancing oxidative stress caused by ROS overproduction by the upregulation of antioxidant detoxification genes and protecting the cell from oxidative stress. Moreover, a set of antioxidant detoxification genes regulated by antioxidant responsive element (ARE) including glutathione S-transferase (GST), NADPH quinone oxidoreductase-1 (NQO1), heme oxygenase-1 (HO-1), and ferritin H (FH) upregulated on the transcriptional level and one of the main transcription factor for ARE activation is NFE2-like 2 (Nrf-2) (Ray et al., 2012). Nrf-2 act as a mediator for detoxification genes NADPH quinone oxidoreductase-1 (NQO1), Glutamate-cysteine ligase catalytic subunit (Gclc), and antioxidant genes heme oxygenase-1 (HO-1), sulfiredoxin-1 (Srxn1). Detoxification genes clear the redox environment by detoxifying ROS and inducing the production of antioxidant forms of molecules and glutathione. Also, the antioxidant gene HO-1 reduces the production of ROS and stimulates mitochondrial biogenesis (Chen & Maltagliati, 2018; Otterbein et al., 2016). In normal conditions, Nrf-2 situates in the cytoplasm of the cell; under oxidative stress or with a presence of an activator it translocates into the nucleus.

#### **1.3. Novel and Sustainable Nutrition**

The notion of food is changing in modern civilizations, and people are demanding dietary products with additional health benefits that go beyond delivering essential nutrients. Since poor eating habits are one of the numerous risk factors for chronic diseases (CD), there is substantial evidence to support the significance of nutrition in human health (Domínguez Díaz et al., 2020). For this reason, producers focused on innovative dietary supplements, though the growth of the development of new functional food items is becoming more difficult because of the consumer's need for nutritious products with health benefits (Shah, 2007). There are several technologies including traditional and innovative methods that have evolved substantially to keep physiologically active substances from degrading. Along with the knowledge on the significance of the link between structure and property; the activity of dietary products depends on active components' integrity and accessibility to the target site after consumption. Yet, the bioactivity of dietary products is complicated since foods are mostly intricate mixes of macro and micro components that may either trap an active molecule or modify its release or inhibit its activity. On the other hand, global hunger risk is increasing with the growth in population and these circumstances show that novel and sustainable nutrient sources are in need (Betoret et al., 2011).

#### **1.3.1.** *Spirulina Platensis*

Microalgae are plant-like organisms with diameters between 1 and 50 micrometers also known as phytoplanktons. Recently, it is known that there are 200,000 to 800,000 different species of microalgae, and not all the species are described or classified (Wolkers et al., 2011). A significant number of microalgae species have been shown to provide comparable levels of protein to commonly consumed protein sources such as milk, soy, eggs, and meat; nevertheless, protein extraction from microalgae may be considered more advantageous in terms of variable nutritional content, efficiency, and yieldance (Wallace, 2000). Microalgae range in extreme environmental circumstances that cause oxidative stress, and due to these conditions and their phototrophic nature, microalgae generated natural defense mechanisms such as the synthesis of antioxidants and pigments (for example chlorophylls, carotenes, and phycobiliproteins). Since humans cannot generate these substances on their own, they are helpful as supplements (Koyande et al., 2019). Moreover, microalgae contain bioactive compounds known as high-value molecules like bioactive peptides (BAPs), polyunsaturated fatty acids (PUFAs), vitamins, polyphenols, and phytosterols making it a valuable nutraceutical source. Consequently, *Spirulina* is composed of nearly 60% protein with 22 essential amino acids. These proteins have significant biological activities and can be hydrolyzed into bioactive peptides. Bioactive peptides, which may be derived from a variety of protein sources, are particular sequences of amino acids with biological activity that have a variety of health benefits and potential uses. Several microalgae species including *Spirulina plantesis* have been used in the industry of healthy food products and supplementary products commonly (Koyande et al., 2019). Since *Spirulina* is rich in bioactive peptides; its potential use in functional foods, cosmetics, and pharmaceuticals was investigated. However, because of high manufacturing costs and a lack of bioactivity research, the industrial production of bioactive peptides produced from *Spirulina* has not yet been accomplished (Lafarga et al., 2021b).

*Spirulina plantesis* gained more popularity in recent years as the studies showing its pharmaceutical potential by elucidating the antioxidant, immunomodulatory, antiinflammatory, anticancer, antiviral, and antibacterial activities increased. The possible antioxidant action of *Spirulina* is also investigated and seen that it stimulates the activity of antioxidant enzymes and scavenges free radicals in addition to its protective effects against DNA damage and lipid peroxidation. Significant antioxidant effects of *Spirulina* were also shown in a number of clinical studies. Besides, the regulatory effects of *Spirulina* on cytokines involved in inflammatory pathways such as IL-1β, IL-2, IL-4, IL-6, IL-10, and TNF-α indicated (Q. Wu et al., 2016). In a case study signifying the potential use of *Spirulina* in cancer treatment conducted by Kızıltan et al., (2015), a female patient with a vulvar tumor received a combined therapy of *Spirulina plantesis* and metronidazole along with radiotherapy. Tumor regression as a response to radiotherapy was observed with no adverse effects (Kiziltan et al., 2015). At the moment, many studies are focusing to achieve improvement in health beneficial effects and bioavailability of *Spirulina plantesis*.

#### **1.3.2. Fermentation**

Bioactive peptide concentrates with certain biological activities have become important components for supplementary products and/or pharmaceutical preparations and are often generated by proteolysis which is the hydrolysis process of proteins via particular enzymes. Although the bioactive peptides are released during digestion after consumption of foods rich in protein, the type and amount of peptides cannot be controlled and may be insufficient to produce important therapeutic effects. In this manner, bioactive peptide concentrates have been obtained synthetically or by using enzymatic and recombinant methods. For enzymatic hydrolysis, it is crucial to select the convenient proteolytic enzyme and arrange the ideal physicochemical condition (Samarakoon & Jeon, 2012); (Lee et al., 2010). On the other hand, fermentation is an alternative way to generate bioactive peptides and it is a natural, low-cost, and sustainable process compared to the generally used methods such as enzymatic hydrolysis so it can be an advantageous alternative method for this purpose. Fermentation is a process that has been used to increase the nutritional value of

foods, preserve the flavor profile or make them more attractive for years. It is known that as a result of fermentation bioactive peptides are formed by the hydrolysis of some proteins in foods (Dallagnol et al., 2012); (Jakubczyk et al., 2013); (Jhan et al., 2015); (Moayedi et al., 2016). As a result of fermentation, indigestible components of the original product can be hydrolyzed into smaller molecules and enhance the bioavailability and digestibility of the food. Correspondingly, the process varies the taste profile of food and improves healthbeneficial effects including anti-inflammatory activity besides reducing harmful and toxic molecules (Garofalo et al., 2022). As an example, kefir is a popular drink produced by fermentation with many health-improving effects including anticarcinogenic effects, reducing effects on inflammatory bowel diseases, and curative effects on neurodegenerative diseases. The therapeutic effects of kefir have been attributed to its antioxidant capacity and the bioactive compounds it contains and these benefactory molecules are produced by the fermentation process (Vieira et al., 2021).

#### **4.1. Scope of Thesis**

The general aim of this study is to investigate the potential anti-inflammatory, anticancer, and indirect antioxidant effects of fermented *Spirulina* protein hydrolysate and bioactive peptide fractions as well as to elucidate the mechanism of actions at the molecular level. *Spirulina* hydrolysates are produced by fermentation and fractionated through ultrafiltration (UF) by Assoc. Prof. Dr. Müge İşleten Hoşoğlu and her team due to collaboration with the TUBITAK project (218M289). Further fractionation of UF samples was accomplished in the scope of this thesis under the supervision of Prof. Dr. Mehmet Ay, also a part of the aforementioned project. Bioactivity and mechanisms underlying are not elucidated in the literature since the hydrolysates are obtained by novel optimized fermentation conditions; in the process of optimizing the fermentation conditions, bioactivity guidance was followed. This study includes the examination of fermented/unfermented products in terms of cytotoxicity and potential anticancer, anti-inflammatory, indirect antioxidant properties, separation, and determination of the hydrolysate fraction with the most promising bioactivities.

**Figure 1.** Fermented *Spirulina* products investigated in this study.



In this manner, the steps of this study are listed below.

- $\checkmark$  Screening of cytotoxicity and NO suppressive effects of three fermented *Spirulina* (FS) products (FS by *Kluyveromyces marxianus*, by FS *Lactobacillus helveticus*, FS in the combination of both *Kluyveromyces marxianus* and *Lactobacillus helveticus*) and determination of the most promising hydrolysate.
- $\checkmark$  Screening of cytotoxicity and NO suppressive effects of different bioreactor products of FS in the combination of both *Kluyveromyces marxianus* and *Lactobacillus helveticus* (M2 (aeration 0.5 vvm), M4 (aeration 1 vvm), M6 (Cascade production), M8 (constant pH production)).
- $\checkmark$  Elucidation of potential anticancer effects of chosen bioreactor product (M6) by  $IC_{50}$  screening.
- $\checkmark$  Screening of cytotoxicity and NO suppressive effects of three M6 fractions obtained by UF (>2 kDa, 2-5 kDa, 5-10 kDa) and determination of UF fraction with promising bioactivities.
- $\checkmark$  Separation of 5-10 kDa UF fraction to peptide fractions by RP-HPLC.
- $\checkmark$  Screening of cytotoxicity and NO suppressive effects of four RP-HPLC fractions (FR1, FR2, FR3, FR4).
- $\checkmark$  Elucidation of anti-inflammatory anticancer, and indirect antioxidant effects of M6, 5-10 kDa UF fraction, and FR1 via qPCR by using specific probes.
- $\checkmark$  Ouantification of Nrf-2 protein expression to elucidate indirect antioxidant effects of M6 and 5-10 kDa UF fraction via Western Blot.

These findings are novel since there is no evidence related to the biological activities of these products in the literature.



## **CHAPTER 2 PREVIOUS STUDIES**

There was no data in the literature relevant to the products studied in this thesis since all hydrolysates were obtained by novel optimized fermentation process conditions as part of the TUBİTAK 218M289 project. Though, there are several studies that investigated the bioactivity of *Spirulina platensis* hydrolysates obtained via enzymatic hydrolysis or fermentation aside from the studies on components of *Spirulina platensis*.

Anticancer properties of *Spirulina platensis* water extract were examined on the Caco-2 (human colorectal adenocarcinoma) cell line and the study indicated that *Spirulina platensis* upregulated the expression of the pro-apoptotic gene Bax while downregulating the expression of anti-apoptotic gene Bcl-2. In this study, the inhibitory effects of *Spirulina*  on cell migration and growth were also demonstrated (Smieszek et al., 2017).

In the study of Vaňková et al., (2018), the effects of chlorophylls from *Spirulina platensis* on human pancreatic adenocarcinoma cell lines (PaTu-8902, MiaPaCa-2, BxPC-3) were examined. Compounds showed a more specific inhibitory effect against PaTu-8902 cells. These potential antiproliferative and anticancer effects were attributed to the antioxidant capacity of *Spirulina platensis* (Vaňková et al., 2018).

In an *in vitro* study, purified polysaccharide SPS-3-1 from *Spirulina platensis* was investigated in terms of anticancer and health-promoting effects. The protective effects of SPS-3-1 on the intestinal epithelial barrier were indicated by damaging Caco-2 cells via  $H_2O_2$ with and without the presence of SPS-3-1 and in polysaccharide presence decomposition of the cell monolayer was reduced. Moreover, SPS-3-1 was proven to have antiproliferative effects on Caco-2 and HepG2 (human hepatoma cell line) cancer cell lines resulting that *Spirulina* may be used in the production of nutraceuticals (Q. Wang et al., 2020).

Wang and Zhang, (2016), proteins were extracted from *Spirulina platensis* and hydrolyzed via proteolytic enzymes and >3, 3-5, 5-10, and <10 kDa fractions were collected via UF. Cytotoxic effects of both hydrolysates and UF fractions were screened by 3-(4,5 dimethylthiazol-2-yl) 22,5-diphenyltetrazolium bromide (MTT) assay on human hepatoma cells (HepG-2), breast cancer cells (MCF-7), gastric cancer cells (SGC-7901), lung cancer cells (A549), colon cancer cells (HT-29) and immortalized liver cells (L-o2). Two of the polypeptides obtained by the trypsin hydrolysis showed a distinguished and selective inhibitory effect on cell growth of HT-29 cells with the IC<sub>50</sub> value of  $\leq 31.25 \mu$ g/mL; indicating that *Spirulina platensis* hydrolysates have a promising potential as an ingredient for nutraceuticals and/or as a therapeutic in pharmaceutics (Z. Wang & Zhang, 2016b).

In the following study performed by Wang and Zhang (2016), proteins were extracted from *Spirulina platensis* and were hydrolyzed by using a sequential method including two enzymes (alcalase and papain) and hydrolysates separated into >3, 3-5, 5-10, and <10 kDa fractions were collected via UF. The inhibitory impact of enzymatic hydrolysate was estimated on the following cancer cell lines: HepG-2, MCF-7, SGC-7901, A549, and HT-29. Hydrolysate showed a significant inhibitory effect on the viability of MCF-7, A549, and HT-29 cells at 500 µg/mL dose. Moreover, >3, and <10 kDa fractions in all UF fractions were determined as the ones with the highest potency in terms of anticancer activity. >3 kDa UF fraction was further purified into smaller fractions through gel chromatography and fractions were also investigated to elucidate their cytotoxicities on cancer cells and determine the active fractions. Active peptide fractions were characterized and anti-tumor activities were estimated *in vivo* and *in vitro*. Results indicated that *Spirulina* hydrolysate has the potential to be developed as a therapeutic agent for tumor inhibition and/or as a bioactive food ingredient (Z. Wang & Zhang, 2016a). Also, a similar study conducted with *Spirulina*  hydrolysate was obtained by using three-stepped enzymatic hydrolysate (pepsin, trypsin, and chymotrypsin). The bioactive peptide was determined from whole hydrolysate and characterized. The specific sequence of the peptide was attributed to have selective significant inhibitory activity on the HT-29 cancer cell line with the  $IC_{50}$  value of 99.88  $\mu$ g/mL suggesting may be considered in further studies for development (Z. Wang & Zhang, 2017).

Recently, Jamnik et al., 2022, investigated the enhancing effects of fermentation on the bioactivity of *Spirulina platensis*. Lactic acid fermentation was accomplished with *Lactobacillus plantarum*. Even though there was no change in protein composition after

fermentation, a raise in non-protein nitrogen amount was observed pointing out that fermented product contains proteins with higher bioavailability. In terms of bioactivity analysis, fermentation enhanced the direct antioxidant activity of *Spirulina* and its polyphenol content was implied as responsible for the antioxidant capacity. Furthermore, preventive effects of the fermented product on lipid peroxidation of *Saccharomyces cerevisiae* were shown. Results were correlated with the potential use of fermented *Spirulina platensis* for nutraceuticals (Jamnik et al., 2022).



#### **CHAPTER 3**

#### **MATERIALS AND METHODS**

#### **3.1. Materials**

### **3.1.1. Chemicals, Kits, and Essential Items**

Chemicals, kits, and essential items used through experimental procedures were listed below in Table 1.

#### Table 1

List of **c**hemicals, kits, and essential items.



#### **3.1.2. Equipment**

Equipment that was used for experiments and analysis (Table 2) in the scope of this thesis is presented in the Molecular Biology and Genetics Research Laboratory and Natural Products and Drug Research Laboratory at the Çanakkale Onsekiz Mart University (COMU).

#### Table 2

Equipment list.



#### **3.1.3. Cell Lines and** *Spirulina platensis* **Samples**

All the cell lines studied for this thesis are listed in Table 3 and growth conditions are explained in method parts.

Table 3

List of cell lines.



Fermented and unfermented *Spirulina* (FS and unFS) samples, ultrafiltration, and RP-HPLC fractions were listed below in Table 4.

#### Table 4

Classification of *Spirulina platensis* samples.



#### **3.2. Methods**

In the scope of this thesis, fermented *Spirulina* (FS) products by *L. helveticus* B-4526, by *K. marxianus* Y-329, and a combination of both *L. helveticus* and *K. marxianus* where *Spirulina* biomass used as a sole source of nutrient in a medium were investigated in terms of their effects on the viability of colon cancer cells and the NO release of LPS-induced macrophages. Then, with the chosen microorganisms bioreactor productions were performed under 4 different 3-L bioreactor conditions by our collaborative research group, and samples of 4 different bioreactor level FS products (M2, M4, M6, and M8) and their unFS counterparts (M1, M3, M5, and M7) were sent to our research group. Effects of bioreactor FS products and their unfermented correspondings on the viability of HT-29 and HUVEC cells were evaluated. Then the bioreactor sample with the promising potential bioactivities was chosen to continue for fractionation steps(RP-HPLC) with the aim of determining active peptide fractions. Before the fractionation steps(RP-HPLC), Ultrafiltration (UF) was applied to the chosen bioreactor product and bioactivity studies continued with its three different UF fractions (>2 kDa, 2-5 kDa, 5-10 kDa). Then, as the last step of this bioactivity-oriented fractionation process, the UF fraction with the promising bioactivity was determined and this UF fraction was used for further fractionations done by RP-HPLC. Toxic/nontoxic doses of peptide fractions collected by RP-HPLC on cancer cell models were determined by SRB assay and mechanism studies completed by qPCR. Additionally, the potential antioxidant activities of samples were investigated via qPCR and Western Blot studies.

#### **3.2.1. Cell Lines and Culture Conditions**

HT-29 (human colorectal adenocarcinoma cell line), MCF7 (human breast cancer cell line), PC-3 (human prostate cancer cell line), and HUVEC (human umbilical vein endothelial cells) in DMEM containing 10% fetal bovine serum (FBS) and 100 U/mL penicillin, 100 μg/mL streptomycin and incubated at 37℃ under a saturating humidity atmosphere of 5%  $CO<sub>2</sub>/95%$  air. In the same incubation conditions, RAW264.7 (mouse macrophage cell line) was cultured in RPMI supplemented with 10% (FBS), 100 U/mL penicillin, and 100 μg/mL streptomycin.

#### **3.2.2. SRB Assay and IC<sup>50</sup> Determination**

The toxic and non-toxic doses of unfermented/fermented *Spirulina* samples and further fractions were detected by SRB assay in each cell line. Cells that were seeded with the concentration of  $5x10^4$  were incubated for 24, 48, and 72 h with different doses of unfermented/fermented *Spirulina* samples and further peptide fractions. After the incubation periods, the old media of each well was removed and cells were fixed by using  $100 \mu L$  of 10% (w/v) trichloroacetic acid (TCA) solution for each well for 1 hour at  $+4$  °C. After the fixation procedure, wells were washed with distilled water 5 times and will be dried at room temperature (RT). 100  $\mu$ L of 0.04% (w/v) SRB dye solution was added to each well and incubated at room temperature for 30 minutes and then the plate was washed with  $1\%$  (v/v) acetic acid solution 4 times and dried using a drier. 200 µL of 10 mM Tris Base solution was

added into each well to dissolve the SRB dye which connected the proteins and plate left on a constant speed shaker for 10 minutes at RT. After this process, the absorbance values were



measured spectrophotometrically at 565 nm by using the Tecan Infinite® 200 PRO multimode plate reader.  $IC_{50}$  values of the compounds were determined by GraphPad Prism 8 software.

**Figure 2.** Experimental steps of SRB Assay.

#### **3.2.3. Griess Assay**

Griess assay was conducted to investigate the effects of unfermented/fermented *Spirulina* samples and further fractions on nitric oxide (NO) release from LPS-induced mouse macrophages. RAW 264.7 were seeded at the concentration of  $5x10^4$  in a 24-well plate and incubated for 24 h. Then samples and LPS (1 µg/mL) were treated and further incubated for 24 h. At the end of the incubation, the media of cells was collected. Sodium nitrite (NaNO<sub>2</sub>) solutions were prepared in the range of  $0-100 \mu$ M concentration to generate a standard curve. Then, supernatants and standards were placed into wells of a 96-well plate, mixed with Griess reagent (1:1), and incubated for 10 minutes at RT and in a dark environment. The amount of nitrite present in the medium was determined via the measurement of the formed color at 520 nm using a spectrophotometer with a microplate reader and the viability of cells was determined by MTT assay for the normalization.

#### **3.2.4. MTT Assay**

After collection of cell medium for Griess assay, 980 µL fresh media (no-phenol red DMEM) was added above cells and 20  $\mu$ L MTT solution (0,2 mM/mL) was treated to each well and incubated for 2 h. Formazan crystals formed in wells dissolved within dimethyl sulfoxide (DMSO). Absorbances were quantified spectrophotometrically at 520 nm with the

reference wavelength of 620 nm by using the Tecan Infinite® 200 PRO multi-mode plate reader.



**Figure 3.** Experimental steps of Griess and MTT Assay.

#### **3.2.5. Gene Expression Analysis**

For the assessment of antioxidant, and anticancer effects of products on transcription level qPCR analysis were performed. After the treatment with *Spirulina* products, RNA samples were isolated from HT-29 and RAW264.7 cells by using NORGEN Total RNA Purification Plus Kit, and cDNA synthesis was carried out by using High-capacity cDNA Reverse Transcription Kit. Effects of the fermented *Spirulina* samples and further fractions on the expression of apoptotic genes of HT-29 cells and antioxidant genes of RAW264.7 were investigated by the Quantitative Polymerase Chain Reaction (qPCR) technique. Specific TaqMan® probes of Bax, Bcl-2, caspase-3, Nrf2, HO-1, NQO1, Gclc, Srxn1, and lastly β-actin as endogenous control were used for analysis. The treatment doses of the fermented *Spirulina* samples and further fractions were chosen as  $IC_{50}$  and  $IC_{50}$ x2 values. The effects of these products on mRNA expression levels were evaluated by the comparative ΔΔCt method.

#### **3.2.6. Protein Expression Analysis**

#### **3.2.7. Protein Extraction and SDS-PAGE**

After the cells are treated with fermented *Spirulina* products for 4 h, Cytoplasmic, and nuclear proteins were isolated from RAW264.7 cells by using NE-PER™ Nuclear and Cytoplasmic Extraction Kit according to the specifications of the kit. The concentration of protein lysates was determined via BCA assay with Pierce™ BCA Protein Assay Kit according to the manufacturer's instructions.

Proteins were denaturated in the water bath for 10 mins at 70 ℃ before loading to gel with the addition of Pierce™ LDS Sample Buffer and Bolt™ Sample Reducing Agent. 4% stacking and 12% separating gels were prepared and protein samples were loaded evenly. Proteins were separated based on their sizes with electrical force.

#### **3.2.8. Western Blot**

The gel was placed into a sandwich-like system that includes sponges, Whatman papers, gel, and nitrocellulose membrane to blot proteins on the gel to membrane. The preparation of the system proceeded in cold transfer buffer (10% methanol, 192 mM glycine, 25 mM Tris) and also the tank was filled with transfer buffer. Blotting proceeded for 1 h with a constant voltage (10 V). After blotting, the membrane was incubated in a blocking solution (5% non-fat dry milk in TBST) for 1 h. The membrane was placed in Nrf-2 (1:100) and β-actin (1:200) antibody solutions, separately, and incubated overnight at 4 ℃. Primary antibody was removed and the membrane was incubated in proper secondary antibody  $(1:1000)$  for 2 h, at room temperature. Lastly, the membrane was subjected to SuperSignal<sup>TM</sup> West Pico PLUS Chemiluminescent substrate for 10 mins at dark. The membrane was scanned by the C-DiGit® Blot Scanner and densitometric analysis was carried out by using Image Studio Digits Software 5.0.



**Figure 4.** Experimental steps of SDS-PAGE (A) and sandwich-like system of Western Blot (B).

# **3.2.9. Peptide Fractionation by Reverse-Phase High-Performance Liquid Chromatography (RP-HPLC)**

Further purification of bioactive 5-10 kDa UF fraction of FS protein hydrolysate was performed by SHIMADZU semi-preparative high-performance liquid chromatography (HPLC) instrument. Two different mobile phases were used including water containing 0.1% trifluoroacetic acid (TFA) (mobile phase A), and acetonitrile containing 0.1% trifluoroacetic acid (TFA) (mobile phase B). The sample and solvents were filtered through 0.45, and 0.22 μm membranes, respectively, before applying them to the column. After the preparation of the sample, it was applied to the Teknokroma Europa Peptide C18 column. Fractions were injected (500 µL) and, eluted at a 2.5 mL/min flow rate by a linear gradient of 10-30% mobile phase B for 40 minutes of the total analysis. *Spirulina* peptide fractions were detected via a deuterium lamp at 220 nm and collected via the fraction collector. Solvents of collected fractions were evaporated by using BUCHI Interface I-300 Rotary Evaporator System.

#### **3.2.10. Statistical Analysis**

All statistical analyses were carried out via GraphPad Prism 8 to determine the significance of the difference between obtained data. Technical and biological replicated experiments were performed and results were represented as the standard error of mean ± (SEM). For comparison between control and treatment groups, One-way analysis of variance (ANOVA) was used.



## **CHAPTER 4 RESULTS AND DISCUSSION**

#### **4.1. Results**

In this study, FS products were investigated in terms of their NO suppressive capacity and their effects on cell viability. Firstly, FS products produced by three different microbial fermentations (by *Lactobacillus helveticus*, *Kluyveromyces marxianus,* and in the combination of both microorganisms) were tested and one FS product was chosen according to its promising bioactive properties. With the chosen microbial group, different batch operational bioreactor productions were performed in the 3-L bioreactor by our collaborative research group. Among these products, one with the most promising bioactive properties was used for UF fractionations (>2 kDa, 2-5 kDa, 5-10 kDa). Moreover, each UF fraction was tested and chosen fraction was further fractioned into its fractions by reverse phase highperformance liquid chromatography (RP-HPLC). In this bioactivity-oriented fractionation process, the difference between FS products and their unfermented counterparts was investigated. To finalize the study, the effects of promising products on antioxidant and anticancer genes were evaluated. Additionally, the effects of these products on the activation of Nrf-2 were presented by using the Western Blot technique.

#### **4.1.1. Bioactivity of Optimization Fermentation Hydrosylates**

#### **4.1.2. Effects of Optimization Fermentation Hydrosylates on Cell Viability**

The effects of fermented *Spirulina platensis* by different organisms, *Kluyveromyces marxianus* (KM), *Lactobacillus helveticus* (LH), in the combination of both *Kluyveromyces marxianus* and *Lactobacillus helveticus* (mix), on the viability of HUVEC, RAW 264.7, and HT-29 cell lines were investigated by SRB cytotoxicity assay. Cell lines were treated with fermented (F) products and their unfermented counterparts (C) for 48 h at doses of 30, 60, 90 µg/mL in terms of protein concentrations. KM-F product did not decrease the viability of healthy or cancer cell lines by 50%. Although, the maximum inhibition of KM-F was approximately 36% on HT-29 colon cancer cells while on healthy cells maximum inhibition was nearly 26% (Fig. 5A). LH-F product did not show any inhibitory or promotive effects on the viability of cell lines similar to LH-C product (Fig. 5B). Moreover, mix-F increased the viability of RAW 264.7 by 20% at all doses whereas mix-C did not show any significant effects. Yet, mix-F did not inhibit the viability of colon cancer cells, while mix-C decreased the cell viability to 47% which is an unexpected result (Fig. 5C).



**Figure 5.** Cytotoxicities of fermented (F) and unfermented (C) *Spirulina platensis* on HUVEC, RAW 264.7, and HT-29 cell lines at 30-60-90 μg/mL doses in terms of protein concentration. *Spirulina platensis* fermented by *Kluyveromyces marxianus* (A), *Lactobacillus helveticus* (B), and mixed culture of *Kluyveromyces marxianus* and *Lactobacillus helveticus* (C). C: Control. \*p < 0.02, \*\*p < 0.005, \*\*\*p < 0.001.

### **4.1.3. Evaluation of the Effects of Optimization Fermentation Hydrosylates on LPS-induced NO Secretion**

The effects of fermented *Spirulina platensis* by different organisms, *Kluyveromyces marxianus* (KM), *Lactobacillus helveticus* (LH), mixed culture of *Kluyveromyces marxianus* and *Lactobacillus helveticus* (mix), on the LPS-induced NO production of RAW 264.7 cells were elucidated by using Griess assay. To determine if the suppression of NO release was caused by cell death, data were normalized by using MTT cytotoxicity data. As compared to the LPS-free control group, LPS treatment induced NO secretion of macrophages. KMfermented product suppressed the NO production at 60 and 90 μg/mL doses by approximately 20% and 10%, respectively. Similar to this, the LH-fermented product also showed a suppressive effect on NO production approximately by 20% at 30 and 90 μg/mL doses. Yet it was observed that all unfermented products increased NO release, compared to the LPS-induced control group, up to nearly 230%, 177%, and 227% for KM-C, LH-C, and mix-C, respectively, at the lowest dose-treated (30 μg/mL). However, the mixed culturefermented product showed a distinctive potency i.e. 89-95% suppressive effect on LPSinduced NO production for 30-90 μg/mL doses (Fig. 6).



**Figure 6.** Effects of fermented (F) and unfermented (C) *Spirulina platensis* on NO secretion and viability of LPS-induced RAW 264.7 cells. C: Control, C: Control (Only LPS). \*p < 0.02, \*\*p < 0.005, \*\*\*p < 0.001.

#### **4.1.4. Bioactivity of Bioreactor Fermentation Hydrolysates**

# **4.1.5. Screening of Anticancer Effects of Bioreactor Fermentation Hydrosylates by SRB Assay**

*Spirulina Platensis* hydrolysates as a result of fermentation by mixed culture of *Kluyveromyces marxianus* and *Lactobacillus helveticus* on bioreactor level performed with different operational conditions were investigated in terms of their anti-cancer activities on HT-29 cells (Fig. 7A) and additionally on healthy cell line (HUVEC) (Fig. 7B) by SRB assay at 48 h. Also, the unfermented control groups were tested to determine the difference between the activities of fermented (M2, M4, M6, M8) and their unfermented counterparts (M1, M3, M5, M7). Fermented and unfermented products were treated at 100, 500, and 1000 μg/mL doses for the screening. From the treated products, M2 inhibited the cell viability by 50% at the highest dose (1000 μg/mL) while other doses had no inhibitory effects. Favorably, M6 decreased the cell viability in a dose-dependent manner whereas its unfermented control group did not show an inhibitory effect. The viability of colon cancer cells decreased by nearly 30%, 60%, and 72% at the doses of 100, 500, and 1000 μg/mL, respectively (Fig. 7). In the light of data presented in Figure 7, M6 was chosen for further assays.



**Figure 7.** Effects of fermented (M2, M4, M6, M8) and unfermented (M1, M3, M5, M7) *Spirulina platensis* bioreactor products on the viability of HT-29 (A), and HUVEC (B) cell lines. C: Control, C: Control (Only solvent).  ${}^*p$  < 0.02,  ${}^*p$  < 0.005,  ${}^*{}^*p$  < 0.001.

#### **4.1.6. Time Optimization and IC50 Determinations of M6**

The optimal time interval of treatment for  $IC_{50}$  screening assays was determined by SRB assays with treatment durations of 24, 48, and 72 h on HT-29 and HUVEC cell lines. 10, 50, 100, 250, 500, and 1000  $\mu$ g/mL doses of M6 and M5 were treated and IC<sub>50</sub> values were determined for each time interval considered. For all time durations, a dose-dependent inhibition pattern was observed for 250, 500, and 1000 μg/mL doses of M6. However, the unfermented product did not decrease the cell viability under 50% except for the 500 and 1000 μg/mL doses of 72 h treatment. Even though at 72 h, M6 decreased the cell viability approximately to 13%, and 3% where M5 decreased to 41%, and 45% for 500 and 1000 μg/mL doses, respectively (Fig 8.C). Additionally, M6 showed more cytotoxicity to HT-29 cells as compared to the healthy cell line. Considering  $IC_{50}$  values, 48 h treatment of M6 was observed as the most efficient compared to 24 h (IC<sub>50</sub>: 332.6  $\mu$ g/mL), and 72 h (IC<sub>50</sub>: 282.8)



μg/mL) treatment durations with the IC<sub>50</sub> value of 238.8 μg/mL (Table 5). Moreover, the selectivity index (SI) of M6 was determined as 3.1 at 48 h.

**Figure 8.** Effects of fermented (M6) and unfermented (M5) *Spirulina platensis* bioreactor products on the viability of HT-29 (A, B, C), and HUVEC (D, E, F) cell lines at 24 h (A, D), 48 h (B, E) and, 72 h (C, F). C: Control, C: Control (Only solvent). \*p < 0.02, \*\*p < 0.005,  $***p < 0.001$ 

Table 5

IC50 values of fermented (M6) and unfermented (M5) *Spirulina platensis* bioreactor products at 24, 48, and 72 h for HT-29, and HUVEC cell lines.

Cell Line	Incubation	24 <sub>h</sub>	48 h	72 h	Cell Line	Incubation	24h	48 h	72 h
	<b>Products</b>	$IC_{50}$	$IC_{50}$	$IC_{50}$		<b>Products</b>	$IC_{50}$	$IC_{50}$	$\mathrm{IC}_{50}$
29 E	M <sub>5</sub>	5023	1863	631.8	Θ Ξ	M <sub>5</sub>	73701	3636	2929
	M6	332.6	238.8	282.8		M <sub>6</sub>	743.4	759.4	905.5

### **4.1.7. Evaluation of Anticancer Effects of M6 on MCF-7 and PC-3 Cell Lines by SRB Assay**

After the determination of the optimal time interval for SRB assay and evaluation of anticancer effects of M6 on HT-29 cell line, for the further estimation of hydrolysates anticancer activities on MCF-7 and PC-3 cell lines  $IC_{50}$  screening performed by SRB assay at 48 h. The same doses as the treatment of HT-29, and HUVEC (10, 50, 100, 250, 500, and 1000 μg/mL) were used.

The only cytotoxic dose of M6 on breast cancer cell line determined as 1000 μg/mL, decreased cell viability by 66% where none of the doses of M5 affected the cell viability (Fig. 9A). Differently, M6 decreased the viability of prostate cancer cells approximately by 80% (at 1000 μg/mL) in a dose-dependent manner and it was also observed that high doses of the unfermented group showed a promoting effect by increasing the viability of PC-3 cells nearly up to  $140\%$  (Fig. 9B). Moreover, IC<sub>50</sub> values of M6 estimated as  $1454$ , and  $514.4$ μg/mL in MCF-7, and PC-3 cell lines, respectively.



**Figure 9.** Effects of fermented (M6) and unfermented (M5) *Spirulina platensis* bioreactor products on the viability of MCF-7 (A), and PC-3 (B) cell lines at 48 h. C: Control, C: Control (Only solvent).  ${}^*p < 0.02$ ,  ${}^{**}p < 0.005$ ,  ${}^{***}p < 0.001$ 

#### **4.1.8. Evaluation of the Effects of M6 on LPS-induced NO Secretion**

Suppressive effects of M6 on LPS-induced NO secretion of macrophages were evaluated by Griess assay and obtained data were normalized by using MTT assay results. Cells were subjected to 100, 500, and 1000 μg/mL doses of M5, and M6. 500 and 1000 μg/mL doses of M6 showed considerable potency by suppressing the NO release to 24%, and 48%, respectively. In view of the fact that 1000 μg/mL dose of M6 decreased the number of viable cells by 77%, 500 μg/mL may be indicated as a safe dose for suppressing the NO release without showing any cytotoxic effects to cells (Fig. 10).



**Figure 10.** Effects of fermented (M6) and unfermented (M5) *Spirulina platensis* on NO secretion and viability of LPS-induced RAW 264.7 cells. C: Control, C: Control (Only LPS).  $*_{p}$  < 0.02,  $*_{p}$  < 0.005,  $*_{p}$  < 0.001.

#### **4.1.9. Bioactivity of three different UF Fractions of M6**

#### **4.1.10. IC50 Determinations of M6 UF Fractions**

IC<sub>50</sub> screenings for  $\geq$ 2, 2-5, and 5-10 kDA UF fractions were accomplished by SRB assays at 48 h for HT-29 and HUVEC cell lines. 10, 50, 100, 250, 500, and 1000 μg/mL doses of UF fractions were treated and  $IC_{50}$  values were determined for each UF fraction of M5, and M6. Among all three UF fractions treated, two of them (2-5 kDa, and 5-10 kDa) were able to decrease colon cancer cell viability under 50% yet the inhibitory effect of these fractions was not in a dose-dependent manner. For  $\geq 2$  kDa, and 2-5 kDa UF fractions; IC<sub>50</sub> values were determined as 258.6 (M5), and 513.5 (M6); 116.4 (M5), and 252 (M6) μg/mL, respectively. On the other hand,  $IC_{50}$  values for 5-10 kDa UF fractions were 636.7 (M5), and 248.3 (M6) μg/mL, indicating that 5-10 kDa fraction have more potency to show an inhibitory effect on the viability of colon cancer cells as compared to the unfermented 5-10 kDa fraction (Fig. 11B). In sum, 5-10 kDa UF fraction of M6 is the only fraction with the higher potential anti-cancer activity compared to the unfermented (M5) UF fractions considering the calculated  $IC_{50}$  values no cytotoxicity to HUVEC cells were demonstrated (Fig. 11A). Favorably, SI index (32.4) for 5-10 fraction of M6 implies that it showed selective cytotoxicity for colon cancer cells.



Figure 11. Effects of fermented (M6) and unfermented (M5) UF fractions on the viability of HT-29 (A), and HUVEC (B) cell lines at 48 h. C: Control, C: Control (Only solvent). \*p  $< 0.02, **p < 0.005, **p < 0.001.$ 

# **4.1.11. Evaluation of the Effects of M6 UF Fractions on LPS-induced NO Secretion**

The potency of M6 UF fractions for suppressing the LPS-induced NO secretion of macrophages was investigated by Griess assay and obtained data were normalized by using MTT assay results. In the light of previous data, since the determined safe dose of M6 was 500 μg/mL, treated doses were chosen as 50, 100, and 200 μg/mL. Interestingly, UF fractions did not show a significant suppressive effect on the NO release, in fact, 50 μg/mL of 2-5 kDa, and 100 μg/mL of 5-10 kDa induced the released NO level nearly by 150% (Fig. 12).



**Figure 12.** Effects of fermented (M6) and unfermented (M5) UF fractions on NO secretion of LPS-induced RAW 264.7 cells. C: Control, C: Control (Only LPS). \*p < 0.02, \*\*p <  $0.005$ , \*\*\*p < 0.001.

#### **4.1.12. RP-HPLC Method Optimization and Collection of Peptide Fractions**

Among the three UF fractions, 5-10 kDa demonstrated selective cytotoxicity to HT-29 cells. Thus, it was selected for further separation into peptide fractions to investigate the bioactivity and establish if the bioactivity is originate in peptides. For this purpose, a RP-HPLC procedure was optimized by changing variable parameters, including analysis duration (20-60 min), the gradient of mobile phases, and flow rate (1.00-5.00 mL/min). Optimization of the method was completed in analytical mode, and then the collection of peptide fractions was carried out. Used mobile phases were water containing 0.1% trifluoroacetic acid (TFA) (mobile phase A), and acetonitrile containing 0.1% trifluoroacetic acid (TFA) (mobile phase B).

Spectrums of some of the methods tested and optimized method were demonstrated in Figure 13. In detail, peaks were detected via the linear gradient of 80-40% mobile phase B was tested with the flow rate of 2.5 mL/min in a 40 min analysis (Fig. 13A). Subsequently, the same analysis duration, and flow rate with the linear gradient of 25-75% mobile phase B (Fig. 13B) and peak intervals were nearly same in both gradients. Another attempt was proceeded by decreasing the ratio of mobile phase B and increasing the analysis duration; with a linear gradient of 0.1-20% mobile phase B in 60 min (Fig. 13C). Better peak resolution was observed in 40 min of total analysis and low mobile phase B ratio when the obtained

spectrums compared. Four peptide fractions were collected (FR1, FR2, FR3, and FR4) at a 2.5 mL/min flow rate by a linear gradient of 10-30% mobile phase B for 40 minutes of the total analysis (Fig. 13D). During the whole process, peptide fractions were collected at 220 nm wavelength.





**Figure 13.** HPLC spectrums of optimization process (A, B, C), optimized method, and collected peptide fractions (D).

#### **4.1.13. Bioactivity of Fermented** *Spirulina* **Peptide Fractions**

# **4.1.14. Screening of Anticancer Effects of Fermented** *Spirulina* **Peptide Fractions by SRB Assay**

Fermented *Spirulina* peptide fractions were investigated in terms of their anti-cancer activities on HT-29 cells (Fig. 14A) and additionally on HUVEC (Fig. 14B) to demonstrate the selective cytotoxic effects of the peptide fractions by SRB assay at 48 h. Peptide fractions were treated to cells in a wide dose range (100, 500, and 1000 μg/mL) for a general estimation of their activities. FR3 and FR4 did not affect the viability of healthy or colon cancer cells. On the other hand, the 1000 μg/mL dose of FR2 decreased cell viability approximately by 47% (Fig. 14A). Yet, FR1 showed higher potency compared to FR2 by demonstrating cytotoxicity nearly above 80% at both 500, and 1000 μg/mL doses (Fig. 14A) without implying a negative effect on healthy cells (Fig. 14B).



**Figure 14.** Effects of fermented *Spirulina* peptide fractions on the viability of HT-29 (A), and HUVEC (B) cell lines at 48 h. C: Control, C: Control (Only solvent).  $\mathbf{\hat{p}}$  < 0.02,  $\mathbf{\hat{p}}$  < 0.005, \*\*\*p < 0.001.

## **4.1.15. IC50 Determinations of Fermented** *Spirulina* **Peptide Fractions FR1 and FR2**

Subsequent to the evaluation of active fractions in terms of potential anti-cancer properties, IC50 screening for FR1 and FR2 was accomplished via SRB assay. 50, 100, 250, 500, and 1000 μg/mL doses of peptide fractions were treated to cells for 48 h. Where FR2 did not indicate cytotoxicity above 50%, FR1 selectively (SI: 5.5) decreased the cell viability of colon cancer cells nearly by 90% at 1000  $\mu$ g/mL dose, in a dose-dependent manner. IC<sub>50</sub> values for FR1, and FR2 were calculated as 250.4, and 728, respectively.



Figure 15. Effects of FR1, and FR2 on the viability of HT-29 (A), and HUVEC (B) cell lines at 48 h. C: Control, C: Control (Only solvent).  ${}^*p < 0.02$ ,  ${}^*p < 0.005$ ,  ${}^*{}^*p < 0.001$ .

### **4.1.16. Evaluation of the Effects of Fermented** *Spirulina* **Peptide Fractions on LPS-induced NO Secretion**

Potential suppressive effects of fermented *Spirulina* peptide fractions on the NO secretion of macrophages stimulated by LPS treatment was established by Griess assay and obtained data were normalized by using MTT assay results. None of the peptide fractions suppressed the released NO level by 50%. The maximum positive effect was observed at 1000 μg/mL dose of FR4, suppressing NO release nearly 33% (Fig. 16). Conspicuously, peptide fractions which were demonstrated to have potential anticancer effects, promoted the secreted NO amount nearly as twice, compared to the LPS-induced control group.



**Figure 16.** Effects of fermented *Spirulina* peptide fractions on NO secretion of LPS-induced RAW 264.7 cells. C: Control, C: Control (Only LPS).  ${}^*p$  < 0.02,  ${}^*p$  < 0.005,  ${}^*{}^*p$  < 0.001.

#### **4.1.17. Mechanism Studies of Active Hydrolyzates and Further Fractions**

#### **4.1.18. Gene Expression Analysis**

As previously demonstrated, the following products were distinguished among all investigated products by indicating selective cytotoxicity on colon cancer cells: M6, 5-10 kDa UF fraction of M6, and further purified peptide fractions of 5-10 kDa UF product FR1, and FR2. Due to their potency to carry out anticancer activities, the effects of these products on pro-apoptotic gene caspase-3 were determined by qPCR analysis and quantified by the comparative ΔΔCt method. Doxorubicin was used as a positive control and cells were subjected to two different doses (IC<sub>50</sub> values and twofold IC<sub>50</sub> values) of M6, 5-10 kDa UF fraction of M6, FR1, and FR2 for 48 h before the RNA isolation. Β-actin was used as endogenous control. Any of the products upregulated the expression of Caspase-3 on the mRNA level where positive control caused a significant upregulation.



**Figure 17.** Effects of FR1, FR2, M6 5-10 kDa, and M6 to the expression of Caspase-3 gene. C: Control, C: Control (Only Solvent), DOX: Positive Control. (Doses: 250.4, 500.8 μg/mL for FR1; 728, 1456 μg/mL for FR2; 248.3, 486.6 μg/mL for M6 5-10 kDa; 238.8, 477.6  $\mu$ g/mL for M6.) \*p < 0.02, \*\*p < 0.005, \*\*\*p < 0.001.

The mix-fermented hydrolysate of *Spirulina* and M6 demonstrated suppressive effects on LPS-induced NO release of macrophages so the potential anti-inflammatory effects of these products were investigated by analyzing the related genes (IL-1β, and TNFα) expressions on mRNA level and by protein quantification studies via IL-1β, and TNF-α ELISA assays (Appendix-Figure 1, Figure 2). The inflammation model was constituted by the stimulation of RAW 264.7 via LPS treatment for 24 h and products were treated to cells simultaneously. At the end of 24 h incubation, the RNA of the samples was isolated. Since the results of mechanism studies were not supporting the previous studies, data are not given in this section.

Promising agents due to cytotoxicity and NO suppressive potential results were investigated for their indirect antioxidant activities at 24 h by using macrophages. Effects of FR1, FR2, M6 5-10 kDa, and M6 preliminarily investigated on the expression of Nrf-2 since

it carries out a role as a mediator for antioxidant and detoxification genes. 500 μg/mL doses of M6 5-10 kDa, and M6 significantly enhanced the gene expression of Nrf-2 approximately 3 fold at the mRNA level (Fig. 18).



**Figure 18.** Effects of FR1, FR2, M6 5-10 kDa, and M6 to the expression of Nrf-2 gene. C: Control. \*p < 0.02, \*\*p < 0.005, \*\*\*p < 0.001.

Gene expression analysis carried on with antioxidant and detoxification genes, assuming that if tested products were upregulated the Nrf-2 expression; they might affect the relevant genes in a similar pattern. FR1, FR2, M6 5-10 kDa, and M6 were treated to macrophages for 24 before the RNA isolation. Effects of these products on expressions of detoxification genes NQO1, Gclc, and antioxidant genes HO-1, and Srxn1 were determined by qPCR analysis and quantified by the comparative ΔΔCt method.

Similar to the Nrf-2 gene expression results, M6 5-10 kDa, and M6 implicated significant and distinctive effects. 250, and 500 µg/mL doses of M6 increased the expressions of HO-1 by 4.6, and 11.9 fold (Fig. 19A); NQO1 by 2.6, and 8.8 fold (Fig. 19B); Srnx1 by 33, and 116 fold (Fig. 19C); Gclc by 4.3, and 4.7 fold (Fig. 19D), respectively. In the case of 250, and 500 µg/mL doses of 5-10 kDa M6, they increased the expressions of





**Figure 19.** Effects of FR1, FR2, M6 5-10 kDa, and M6 on the expressions of HO-1 (A), NQO1 (B), Srnx1 (C), and Gclc (D) genes. C: Control.  $*p < 0.02$ ,  $**p < 0.005$ ,  $***p < 0.001$ .

#### **4.1.19. Protein Expression Analysis**

Since the gene expression analysis demonstrated the significant positive effects of M6, and M6 10 kDa on antioxidant gene expressions, the study proceed with the evaluation of these compounds' effects on the protein expression of Nrf-2. Activation of Nrf-2 concludes with the translocation of Nrf-2 from the cytoplasm to the nucleus. So that, after the treatment of products to cells for 4 h, nuclear, and cytoplasmic proteins were isolated from cells by using NE-PER™ Nuclear and Cytoplasmic Extraction Kit. Furthermore, proteins were separated based on their sizes via SDS-PAGE and, blotted on a nitrocellulose membrane by the Western Blot method. Membrane footages were analyzed after incubation with proper antibodies and the intensity of bands was determined, obtained data were normalized with β-actin data. Sulforaphane (SUL) was used as the positive control. M6 and M6 5-10 kDa both stimulated the nuclear accumulation of Nrf-2; protein expression of nuclear Nrf-2 increased by 1.3, and 1.8 fold with the treatment of M6 250, and 500 µg/mL, respectively, in a dose-dependent manner. Also, 250, and 500 µg/mL doses of M6 5-10 kDa increased the Nrf-2 protein expression nearly by 1.3, and 1.4 fold, respectively (Fig. 20). Considering the fact that positive control SUL increased nuclear Nrf-2 expression approximately up to 1.2 fold, fermented *Spirulina* hydrolysate and its 5-10 kDa UF fraction may have potential indirect antioxidant activities.



**Figure 20.** Effects of M6, and M6 5-10 kDa, on the protein expression of Nrf-2 at 4 h. C: Control, SUL: Positive control.

#### **4.2. Discussion**

This study was constructed with the aim of investigating the potential antiinflammatory, anticancer, and indirect antioxidant effects of fermented *Spirulina* protein hydrolysate and bioactive peptide fractions as well as to elucidate the mechanism of actions at the molecular level of these products were demonstrated in a scientific basis. Since it is implicated that the novel supplements in process of development need to be investigated in terms of cytotoxicity and biocompatibility for the safety of further nutraceutical applications (Mohammadi et al., 2022), cytotoxic effects of all the fermentation products presented within this study were evaluated in healthy cell line HUVEC. Cytotoxicity data were representing a positive perspective on the biocompatibility of fermented *Spirulina* products, determined safe doses of optimization fermentation products, bioreactor fermentation products, UF fractions, and fermented *Spirulina* peptide fractions did not indicate cytotoxicity on healthy cells. Moreover, in this study, fermented and unfermented *Spirulina* were compared in terms of their bioactivities. With respect to data on cytotoxicity, mix-fermented hydrolysate demonstrated no significant cytotoxicity while the unfermented group decreased the cell viability nearly by 30% on healthy cells (Fig. 5C). This may be explained by the detractive effects against harmful molecules and toxins of product attained by the fermentation process (Garofalo et al., 2022). Correlatively, bioreactor fermentation hydrolysate M4 promoted the HUVEC cell viability nearly 1.8 fold where unfermented control product M3 did not imply a significant effect.

Furthermore, another advantageous effect of fermentation evaluated was enhanced, specific cytotoxicity of fermented products as compared to unfermented products on colon cancer cell line. For this matter, M6 inhibited the HT-29 cell viability with an  $IC_{50}$  value of 238.8  $\mu$ g/mL while the calculated IC<sub>50</sub> value of M5 was 1863  $\mu$ g/mL i.e. fermentation process enhanced the cytotoxicity of *Spirulina* on colon cancer cells by 7.8 fold. M6 also showed cytotoxicity on prostate and breast cancer cells (Fig. 9), however, it was not as effective as it was on colon cancer cells. Additionally, one of the considerations in the scope of this study was to make a prediction on the activity of BAPs that may be produced by fermentation. So, bioactivity-oriented fractionation steps were followed to deduce if the activity was based on peptides. When M6, M6 5-10 kDa, and FR1 were compared,  $IC_{50}$ values (238.8, 248.3, and 250.4 µg/mL, respectively) of these products were relatively close; yet increased as the hydrolysate was further purified, this may be interpreted as potential anticancer effects of fermented products were not originated from one-specific peptide but the synergistic effects of peptides and other byproducts of fermentation.

M6 and mix-fermented *Spirulina* products showed distinguished NO suppressive effects compared to the LPS-induced control groups. On the other hand, all unfermented products increased the released NO level up to nearly 230%, 177%, and 227% for KM-C, LH-C, and mix-C, respectively. Correlatively, it was shown that polysaccharides purified from *Spirulina platensis*induced NO production without the presence of LPS on RAW 264.7 cells (X. Wu et al., 2020). Considering the correlation, NO suppressive properties of mix product may be attributed to the acquisition of the fermentation process. Also, it was observed that the NO suppressive potential of products decreased as the product further fractionated. UF fractions of M6 and fermented *Spirulina* peptide fractions did not demonstrate a significant suppressive effect compared to the M6 or mix. This can be another substantiation showing the effect of synergistic effects of peptides and other byproducts of fermentation.

# **CHAPTER 5 CONCLUSION**

In the scope of this thesis, the anticancer, anti-inflammatory, and indirect antioxidant effects of fermented *Spirulina platensis* hydrolysates and its peptide fractions were evaluated for their potential uses in both pharmaceutical and nutraceutical purposes. FS products produced by three different microbial fermentations (by *Lactobacillus helveticus*, *Kluyveromyces marxianus,* and in the combination of both microorganisms) were tested and FS product by mix was chosen according to its promising bioactive properties. With the chosen microbial group (mix), different batch operational bioreactor productions were performed in the bioreactor by our collaborative research group, and potential anti-cancer activities of bioreactor FS products (M2, M4, M6, M8) and their unfermented correspondings (M1, M3, M5, M7) were evaluated on HT-29 cell line. Since the M6 significantly and dose-dependently decreased the viability of colon cancer cells, the cytotoxic effects of M6 and its unfermented counterpart M5 were further evaluated on HT-29, MCF-7, PC-3, and HUVEC cell lines in a wider dose range. Among bioreactor fermentation products, M6, which demonstrated the most promising bioactive properties was used for UF fractionations (>2 kDa, 2-5 kDa, 5-10 kDa). Moreover, each UF fraction was tested and the selected fraction 5-10 kDa was further fractioned into its fractions by reverse phase high-performance liquid chromatography (RP-HPLC). In the last step, the effects of promising products (M6, M6 5-10 kDa, FR1, and FR2) on antioxidant genes were evaluated. Also, the effects of M6 and the 5-10 kDa UF fraction of M6 on the Nrf-2 activation were presented by using the Western Blot technique.

*Spirulina* hydrolysate fermented by the mixed culture of *Kluyveromyces marxianus* and *Lactobacillus helveticus*, and the bioreactor-level product called M6 presented a distinctive potency on LPS-induced NO production. Also, M6, UF fractions (5-10 kDa) of M6, and fractions obtained from UF fractions (5-10 kDa) of M6 as FR1 and FR2 by RP-HPLC demonstrated selective cytotoxicity on HT-29 cells. Yet, products did not affect the expression of Caspase-3 which suggest that the mechanism underlying the cell death may not be related to apoptosis. Therefore, future studies can proceed to evaluate the type of cell death. Furthermore, M6 and UF fractions of M6 belonging to the 5-10 kDa range

significantly enhanced the expressions of antioxidant and detoxification genes including Nrf-2, HO-1, NQO1, Srnx1, and Gclc, and also, M6 demonstrated a potential Nrf-2 activatory effect by stimulating the nuclear accumulation of Nrf-2. Overall, due to potential NO suppressing, anticancer effects, and high capacity to upregulate antioxidant and detoxification genes, fermented *Spirulina platensis* may be considered in further studies focusing on the development of nutraceuticals.



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#### **APPENDIX**



**Figure 1.** Effects of fermented (M6) and unfermented (M5) *Spirulina platensis* on IL-1β (A), and TNF-α (B) gene expressions of LPS-induced RAW 264.7 cells. C: Control, C: Control (Only LPS). \*p < 0.02, \*\*p < 0.005, \*\*\*p < 0.001.



**Figure 2.** Effects of fermented (M6) and unfermented (M5) *Spirulina platensis* on IL-1β (A), and TNF-α (B) release of LPS-induced RAW 264.7 cells. C: Control, C: Control (Only LPS).  ${}^*p$  < 0.02,  ${}^*{}^p$  < 0.005,  ${}^*{}^*{}^p$  < 0.001.